

GLBA PRIVACY NOTICE
December 8, 2025

FACTS	WHAT DOES MARSTONE, LLC (“MARSTONE”) DO WITH YOUR PERSONAL INFORMATION?
Who?	This policy explains how Marstone collects, uses, and discloses personal information relating to investors or potential investors in financial products and/or services made available by or through Marstone.
Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
What?	<p>The types of personal information we collect and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none">■ Name, age, personal identifiers (phone number, email address);■ Current balance values, funding frequency (one-time, auto-investing);■ Portfolio allocation chosen, and details related to your investment activities such as risk profile.
How?	All financial companies need to share customers’ personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers’ personal information; the reasons Marstone chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Marstone share?	Can you limit this sharing?
For our everyday business purposes — such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No

For our marketing purposes — to offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	We don't share
For our affiliates' everyday business purposes — information about your transactions and experiences	Yes	No
For our affiliates' everyday business purposes — information about your creditworthiness	No	We don't share
For our affiliates to market to you	No	We don't share
For nonaffiliates to market to you	Yes	Yes

To limit our sharing	<ul style="list-style-type: none"> ■ (Email) privacyrequest@marstone.com ■ (Mail) Marstone, LLC., ATTN: Privacy Team, 697 Third Avenue, Suite 350, New York, NY 10017 <p>Please note:</p> <p>If you are a <i>new</i> customer, we can begin sharing your information 30 days from the date we sent this notice. When you are <i>no longer</i> our customer, we continue to share your information as described in this notice. However, you can contact us at any time to request that we limit our sharing.</p>
Questions?	Email privacyrequest@marstone.com

Mail-in Form

Mark any/all you want to limit:

- ☐ Do not use my personal information to market to me.
- ☐ Do not share my personal information with other financial institutions to jointly market to me.
- ☐ Do not allow your affiliates to use my personal information to market to me.
- ☐ I revoke my consent to your sharing of my personal information with nonaffiliates to market to me.

Name

Address

**City, State,
Zip**

[Account #]

Mail to:

Marstone, LLC
ATTN: Privacy Team
697 Third Avenue
Suite 350
New York, NY 10017

Who we are

Who is providing this notice?

Marstone, LLC, a SEC registered investment adviser and our affiliates, including Marstone, Inc., a financial technology firm (collectively, “Marstone”).

What we do

How does Marstone protect my personal information?

To protect your personal information from unauthorized access, we use security controls that comply with applicable law. These controls include commercially reasonable technical and organizational safeguards designed to protect customer information.

<p>How does Marstone collect my personal information?</p>	<p>We collect your personal information when you engage or use our Site or Services, for example, when you:</p> <ul style="list-style-type: none"> ■ register to use our Services ■ contact us for assistance ■ use or access our Site. <p>We also collect your personal information from other companies, such as affiliates, data aggregators and other financial institutions. Pursuant to applicable United States federal law, you have the right to limit the sharing of personal information in the following circumstances: when information about your creditworthiness is being shared with affiliates for their everyday business purposes, and when your personal information is being shared with affiliates and non-affiliates for their own marketing purposes. Please note that we do not share information about your creditworthiness with our affiliates for their everyday business purposes. We share your personal information with non-affiliates for their own marketing purposes only with your consent, which you may revoke at any time. Other laws may furnish you additional rights to limit sharing of your personal information. See below for more information on your rights under certain state laws.</p>
<p>Why can't I limit all sharing?</p>	<p>Federal law gives you the right to limit only</p> <ul style="list-style-type: none"> ■ sharing for affiliates' everyday business purposes – information about your creditworthiness ■ affiliates from using your information to market to you ■ sharing for nonaffiliates to market to you <p>State laws and individual companies may give you additional rights to limit sharing. See below for more on your rights under state law.</p>
<p>What happens when I limit sharing for an account I hold jointly with someone else?</p>	<p>Your choices will apply to everyone on your account, unless you tell us otherwise.</p>

Definitions

Affiliates

Companies related by common ownership or control. They can be financial and non-financial companies. Our affiliates include companies with the Marstone name, and other branding, such as Marstone, LLC and Marstone, Inc.

Nonaffiliates

Companies not related by common ownership or control. They can be financial and non-financial companies.

Joint marketing

A formal agreement between nonaffiliated financial companies that together market financial products or services to you. Our joint marketing partners include financial institutions or other institutions that partner with us to provide the Marstone platform to their customers.

Services

The online services that are available via our Site or are otherwise provided by Marstone without use of our Site to select customers and their authorized users who have registered to receive such services and agreed to certain contractual terms with Marstone.

Site

Any website, application, or online platform owned, licensed, or operated by Marstone, including Marstone.com and (Wealth.Marstone.com).

Other important information

Marstone may change this notice from time to time. If we make any changes, we will change the “Last Updated” date which can be found above.